

em



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/016,187	12/12/2001	David John McComas	090936.0432	4235

31625 7590 12/19/2003

BAKER BOTTS L.L.P.
PATENT DEPARTMENT
98 SAN JACINTO BLVD., SUITE 1500
AUSTIN, TX 78701-4039

EXAMINER

FERNANDEZ, KALIMAH

ART UNIT	PAPER NUMBER
----------	--------------

2881

DATE MAILED: 12/19/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No.	Applicant(s)	
	10/016,187	MCCOMAS, DAVID JOHN	
	Examiner	Art Unit	
	Kalimah Fernandez	2881	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-21 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-21 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 21 December 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. §§ 119 and 120

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. ____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
* See the attached detailed Office action for a list of the certified copies not received.
- 13) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.
a) ☐ The translation of the foreign language provisional application has been received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). ____. |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) ____. | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

And/Or

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

1. Claims 1-21 stand rejected under 35 U.S.C. 102(b) as being anticipated by US Pat No 5,168,158 issued to McComas et al.
2. McComas et al discloses a mass spectrometer having a detector (40) and suppression grid (77) (col.8, lines 9-34).
3. McComas et al discloses a detector for detecting the electrons (col.8, lines 10-14).

4. McComas et al discloses a suppression grid placed in the electron flight path in front of the detector (col.8, lines 25-28).
5. McComas et al discloses said grid being electrically conductive (col.8, line 27).
6. McComas et al discloses said grid may receive an applied voltage via endcap (41) (col.7, lines 26-28; col.8, lines 27-28).
7. McComas et al discloses said grid transmits to the detector only a fraction (~90/100 or 90%) of the electrons received at the grid (col.8, lines 25-28).
8. As per claim 3, McComas et al discloses a micro-channel plates (col.8, lines 20-25).
9. As per claim 4, McComas et al discloses a calibration unit (col. 9, lines 23-28; col.11, lines 27-31).
10. As per claims 5-6, McComas et al discloses a foil (38) secondary electron emission surface for scattering electrons to be received at the suppression grid (col.8, lines 35-40).
11. As per claim 7, all limitations are discusses above.

12. As per claim 8, McComas et al discloses the step of setting the applied voltage to receive a known percentage of the electron (col. 9, lines 29-36).

13. As per claim 9, McComas et al discloses the step of periodically scanning a range of voltages applied to the suppression grid (col.7, lines 26-28; col.7, lines 18-25; col.11, lines 23-26).

14. As per claims 10-11, McComas et al discloses measuring counts of the electrons received at the grid as a function of their energy and voltage and of comparing the measured data to stored calibration data (col.10, lines 30-65).

15. As per claim 12, McComas et al discloses the step of measuring counts of the electrons received at the grid as a function of their species, and of comparing the measured data to stored calibration data (col. 11, lines 27-34).

16. As per claims 13-14, McComas et al discloses the use of both detectors (40,44) for calibration purposes (col.9, lines 18-28). McComas et al discloses providing periodic voltages to the second detector (col.9, lines 15-17).

17. As per claim 15, McComas et al discloses a foil (38) for transmitting particles and producing secondary electrons from the particles at the output side of the foil (col.8, lines 35-38).

18. McComas et al discloses a start detector (44) for counting electrons generated from the foil (col.8, lines 38-42).

19. McComas et al discloses a stop detector (40) for counting particles transmitted through the foil (col.8, lines 9-34).

20. McComas et al discloses a suppression grid (77) as claimed (col.8, lines 25-28; also see ground of rejection of claim 1 above).

21. As per claim 16, McComas et al discloses a suppression grid (72) in front of start detector (44) (col.8, lines 42-44).

22. As per claim 17, McComas et al discloses a suppression grid (77) as claimed (col.8, lines 25-28; also see ground of rejection of claim 1 above).

23. As per claims 2 and 18, McComas et al discloses control electronics for varying the voltage applied to the suppression grid (col.7, lines 30-34; col.9, lines 15-17; col.9, lines 25-28).

24. As per claim 19, McComas et al discloses a micro-channel plate (col.8, lines 20-25; col.8, lines 40-42).

25. As per claim 20, McComas et al discloses a calibration unit (col.9, lines 23-28; col.11, lines 27-31).

26. As per claim 21, McComas et al discloses a control unit for applying voltage to the foil (col.7, lines 34-40).

27. Claims 1-3 and 7 stand rejected under 35 U.S.C. 102(e) as being anticipated by US Pat No 6,294,790 issued to Wienberger.

28. Wienberger discloses a particle detector (col.1, lines 11-13).

29. Wienberger discloses a detector for detecting electrons (col.9, lines 11-19).

30. Wienberger discloses a suppression grid (62) placed in the electron flight path in front of the detector (col.11, lines 61-67; col.12, lines 14-16).

31. Wienberger discloses said grid (62) made from a conductive material (col.11, lines 31-36).

32. Wienberger discloses said grid receives an applied voltage (col.11, lines 61-62).

33. Wienberger discloses said grid operable to transmit to the detector only a fraction of the electrons received at the grid (col.11, lines 31-32).

That is, Wienberger's grid (62) is operable to transmit only 30%-70% of incident electrons.

34. In addition, Wienberger discloses actively repel a portion of the electrons from the detector by application of a potential (col.9, lines 1-10).

35. As per claim 2, Wienberger discloses varying/altering the voltage applied to the suppression grid (62) via control electronics (col.13, lines 45-69; col.13, line 66-col.14, line 4).

36. As per claim 3, Wienberger discloses a microchannel plate (col.8, lines 35-51).

37. As per claim 7, Wienberger discloses producing secondary electrons at a secondary electron emission surface (col.12, lines 9-10).

38. Wienberger discloses receiving the secondary electrons at a detector (col.8, lines 35-51).

39. Wienberger discloses placing a suppression grid in the electron flight path in front of the detector (col.11, lines 61-67).

40. Wienberger discloses said grid being made from a conductive material (col.11, lines 31-32).

41. Wienberger discloses applying a voltage to the grid (col.11, lines 61-62) such that the grid is operable to transmit to the detector only fraction of the electrons received at the grid (col.11, lines 31-32).

Response to Arguments

42. Applicant's arguments filed 9-22-03 have been fully considered but they are not persuasive. In response to applicant's argument that the reference does not teach actively repelling electron, both McComas and Wienberger teach the application of voltage to repel electron (col.7, lines 26-58 of McComas and col.9, lines 1-5 of Wienberger). Therefore, the claimed invention is not patentable over the cited references.

Conclusion

43. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the

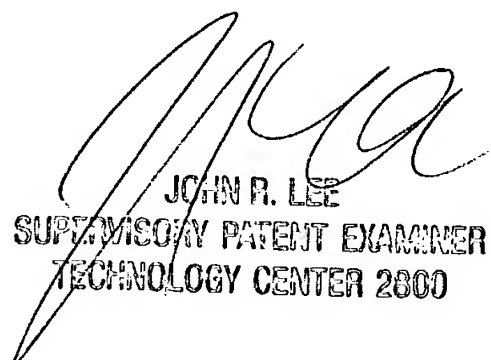
mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kalimah Fernandez whose telephone number is 703-305-6310. The examiner can normally be reached on Mon-Thurs between 7:00am-4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John R Lee can be reached on 703-308-4116. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9318.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0956.

kf


JOHN R. LEE
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2800